



**CERTIFICATE OF MAILING VIA FIRST CLASS MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231, on May 16, 2001

  
Yvette Renee Killingham

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Christopher A. Rygaard

Serial No: 09/758,941

Art Unit: Not assigned

Filed: January 10, 2001

Examiner: Not assigned

Title: **MOBILE APPLICATION SECURITY SYSTEM AND METHOD**

**REQUEST TO CORRECT FILING RECEIPT**

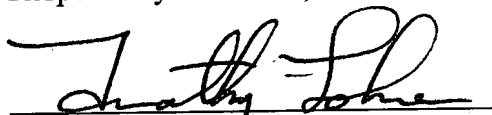
Commissioner of Patents  
Washington, DC 20231

Sir:

Attorney for Applicant hereby requests a correction of an element of the Filing Receipt in the above referenced U.S. Patent Application. **The current Filing Receipt does not list the correct Attorney Docket Number, which should read as follows: 1010722-991102.** Attorney for Applicant respectfully requests that this error be corrected. A corrected Filing Receipt is attached hereto for your reference.

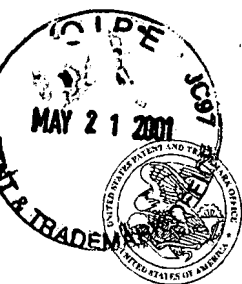
Respectfully submitted,

Dated: May 16, 2001



Timothy W. Lohse  
Reg. No. 35,255  
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP  
1755 Embarcadero Road  
Palo Alto, CA 94303-3340  
Telephone: (650) 320-7400



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/758,941	01/10/2001	Chris Rygaard	1010722-991101

CONFIRMATION NO. 9601

## FORMALITIES LETTER



\*OC000000005800958\*

Timothy W. Lohse  
GRAY CARY WARE & FREIDENRICH LLP  
3340 Hillview Avenue  
Palo Alto, CA 94304

Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

5/29/2001 AGOITOM 00000129 09758941

1 FC:202  
2 FC:299

120.00 OP  
120.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/23/2001 AGOITOM 00000059 09758941

01 FC:201  
02 FC:205  
03 FC:202

355.00 OP  
65.00 OP  
~~240.00 OP~~

Adjusted date: 05/29/2001 AGOITOM 00000059 09758941  
05/23/2001 AGOITOM 00000059 09758941  
-240.00 OP  
03 FC:202

*A copy of this notice MUST be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**CERTIFICATE OF MAILING VIA FIRST CLASS MAIL**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 16, 2001

*Yvette Renée Killingham*  
Yvette Renée Killingham

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Christopher A. Rygaard

Serial No: 09/758,941

Group Art Unit: Not assigned

Filed: January 10, 2001

Examiner: Not assigned

Title: **MOBILE APPLICATION SECURITY SYSTEM AND METHOD**

\* \* \*

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF UTILITY PATENT APPLICATION**

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Utility Patent Application" mailed by the United States Patent and Trademark Office on February 26, 2001. The following documents are enclosed to complete the filing of the above-referenced patent application:

1. Missing Parts Transmittal (2 pgs)
2. Petition for Extension of Time (30-day) (2 pgs)
3. Check # 477534 in the amount of \$55.00 – Filing Fee
4. Copy of Notice to File Missing Parts (2 pgs)
5. Verified Statement Claiming Small Entity Status (3 pgs)
6. Executed Declaration and Power of Attorney (3 pgs)
7. 17 Sheets of Formal Drawings
8. Request to Correct Filing Receipt (1 pg)
9. Fee Transmittal (1 pg)
10. Check #476843 in the amount of \$725.00  
\$355.00 - Filing fee – small entity



\$240.00 - 3 independent claims over 3; and  
\$130.00 - late filing fee.

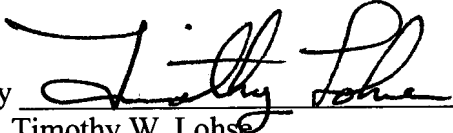
11. Return postcard

Check #476843 in the amount of \$725.00 is enclosed. The Commissioner is also authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 5/16/2001

By   
Timothy W. Lohse  
Reg. No. 35,255  
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH  
1755 Embarcadero Road  
Palo Alto, CA 94303-3340  
Telephone: (650) 320-7400

Gray Cary\EM\7075439.1  
1010722-991102

**PTO FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 725.00)

**Complete if Known**

Application Number	09/758,941
Filing Date	January 10, 2001
First Named Inventor	Christopher A. Rygaard
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	1010722-991102

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-1896**

Deposit Account Name

- ☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$ 355.00)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
-20-	-0-	-0-	-0-
Independent Claims	-6-	-3-	40
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
				** Reissue claims in excess of 20 and over original patent
110	18	210	9	

**SUBTOTAL (2)** (\$ 240.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55.00
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	11	0240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stms	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 780.00)**SUBMITTED BY**

Name (Print/Type)

Timothy W. Lohse

Signature

Registration No. (Attorney/Agent)

35,255

Complete (if applicable)

Telephone

650-833-2000

Date

5/16/2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.